CALIFORNIA PUBLIC UTILITIES COMMISSION DIVISION OF WATER AND AUDITS

Advice Letter Cover Sheet

The protest or response deadline for this advice letter is 20 days from the date that this advice letter was mailed to the service list. Please

Date Mailed to Service List: November 22, 2016

Protest Deadline (20th Day): December 12, 2016

Review Deadline (30th Day): December 22, 2016

Rate Impact: \$See AL

See AL%

Requested Effective Date: January 7, 2017

Utility Name: California American Water

Tier □1 ⊠2

Description: 2017 Step rate filing.

Authorization D.15-04-007

CPUC Utility #: U210W

Advice Letter #: 1138

District: San Diego County District

□3

 \square Compliance

see the "Response or Protest" s	section in the advice letter for more inform	nation.	
Utility Contact:	Nancy Mohr	Utility Contact:	Sherrene Chew
Phone:	916-568-4255	Phone:	916-568-4233
Email:	nancy.mohr@amwater.com	Email:	Sherrene.chew@amwater.com
DWA Contact:	Tariff Unit		
Phone:	(415) 703-1133		
Email:	Water.Division@cpuc.ca.gov		
	DWA USE C		
<u>DATE</u>	<u>STAFF</u>	CON	<u>MMENTS</u>
			
[] APPROVED	[]WITHD	RAWN	[] REJECTED
Signature:	Comm	nents:	
Date:			



November 22, 2016

ADVICE LETTER NO. 1138

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (California American Water) (U210W) hereby submits for review this advice letter including the following tariff sheets applicable to its San Diego County District which are attached hereto:

C.P.U.C. Sheet No.	Title of Sheet	Canceling Sheet No.
XXXX-W	Schedule No. SD-1 San Diego County District Tariff Area GENERAL METERED SERVICE	8094-W
XXXX-W	Schedule No. SD-1 (Continued) San Diego County District Tariff Area GENERAL METERED SERVICE	8095-W
XXXX-W	Schedule No. SD-1 (continued) San Diego County District Tariff Area GENERAL METERED SERVICE	8184-W
XXXX-W	Schedule No. CA-LIRA California American Water LOW INCOME RATEPAYER ASSISTANCE PROGRAM	8097-W
XXXX-W	Schedule No. CA-4 (Continued) California American Water PRIVATE FIRE PROTECTION SERVICE	8098-W
XXXX-W	Schedule No. CA-4H California American Water Tariff Area PRIVATE FIRE HYDRANT SERVICE	8124-W
XXXX-W	TABLE OF CONTENTS (Continued) (Page 2)	8091-W
XXXX-W	TABLE OF CONTENTS (Continued) (Page 3)	8092-W
XXXX-W	TABLE OF CONTENTS (Page 1)	8093-W

Purpose:

This advice letter filing is being made in order to request approval of the 2017 step increase for San Diego County District in accordance with California Public Utilities Commission (Commission) Decision (D.) 15-04-007.

Background:

Submission of these tariffs is made in compliance with Ordering Paragraph 8 of D. 15-04-007 which states:

For escalation years 2016 and 2017, California-American Water Company shall file Tier 2 advice letters proposing new revenue requirements and corresponding revised tariff schedules for each district. The filing shall include rate procedures set forth in the Commission's Rate Case Plan (Decision 07-05-062) for Class A Water Utilities and shall include appropriate supporting workpapers. The revised tariff schedules shall take effect no earlier than January 1, 2016, and January 1, 2017, respectively and shall apply to service rendered on and after their effective dates.

These tariffs are also being filed in compliance with ordering paragraph 13 of D. 15-04-007 which states:

13. California-American Water Company is required to file 2016 and 2017 escalation year filings for each and every district.

This filing also includes an analysis on Cal-Am's low income program per the settlement agreement adopted in D.15-04-007, which states:

...ORA and CAW further agree:

- 1. The program will be a Company-wide program.
- 2. A surcharge will be based on the parameters and calculations as detailed in the above Rate Design Section 10 of this document,
- 3. The surcharge will be applicable to all non-low Income water and wastewater customers,
- 4. The surcharge will be evaluated and adjusted annually in the annual Step rate filings to ensure appropriate collections, and
- 5. The annual adjustment will be based on calculations as provided in Attachment E-7 to this settlement wherein actual data for discounts, Low Income customers and total customers are inserted into the calculation to replace estimates that are currently shown. Actual data should be through September 30, of the last recorded period.

Request:

This advice letter filing is to request implementation of San Diego County District's 2017 step submittal. As shown in the supporting earnings test and workpapers for the twelve months ended September 30, 2016, the district earned a rate of return of 8.00% on a recorded basis and 8.23% on a "proforma" basis. The most recent authorized rate of return per D.12-07-009 was 8.41% adjusted to 8.43% to match the designated pro forma period.

In accordance with the Commission staff's procedures for filing step increase, attrition and offset advice letters, since the pro forma rate of return is below the authorized rate of return of the same time period, the district is under earning and is, therefore, entitled to the full step rate increase. California American Water, therefore, respectfully requests a step rate increase in the amount of \$701,463 or 2.60%.

In accordance with Section 4.3 of General Order No. 96-B, a copy of this advice letter is being sent to those entities listed in Exhibit A.

Copies of the detailed workpapers and the documents supporting this Advice Letter have been furnished to the Commission Staff.

The actions requested in this advice letter are not now the subject of any formal filings with the Commission, including a formal complaint, nor action in any court of law.

This filing will not cause the withdrawal of service, nor conflict with other schedules or rules.

Tier Designation:

Pursuant to D.15-04-007, this advice letter is submitted with a Tier 2 designation.

Effective Date:

Pursuant to D.15-04-007, California American requests an effective date of January 7, 2017.

RESPONSE OR PROTEST¹

Anyone may submit a response or protest for this AL. When submitting a response or protest, please include the utility name and advice letter number in the subject line.

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds² are:

- 1. The utility did not properly serve or give notice of the AL;
- 2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
- 3. The analysis, calculations, or data in the AL contain material error or omissions;
- 4. The relief requested in the AL is pending before the Commission in a formal proceeding; or
- 5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
- 6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require relitigating a prior order of the Commission.

¹ G.O. 96-B, General Rule 7.4.1

² G.O. 96-B, General Rule 7.4.2

7. A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

DWA must receive a response or protest via email (<u>or</u> postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, <u>please include the utility name and advice letter number in the subject line.</u>

The addresses for submitting a response or protest are:

Email Address: Mailing Address:

Water.Division@cpuc.ca.gov CA Public Utilities Commission

Division of Water and Audits 505 Van Ness Avenue San Francisco, CA 94102

On the same day the response or protest is submitted to DWA, the respondent or protestant shall send a copy of the protest to California American Water at:

Email Address: Mailing Address:

todd.pray@amwater.com 4701 Beloit Drive

Sacramento, CA 95838

sarah.leeper@amwater.com 555 Montgomery Street, Ste. 816

San Francisco, CA 94111

nancy.mohr@amwater.com 4701 Beloit Drive

Sacramento, CA 95838

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform DWA, within the 20 day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

REPLIES³

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

CALIFORNIA-AMERICAN WATER COMPANY

/s/ Todd Pray

Todd Pray

Senior Manager - Rates & Regulatory

³ G.O. 96-B, General Rule 7.4.3

655 West Broadway, #1410

San Diego, CA 92101

Revised

C.P.U.C SHEET NO. XXXX-W

(1)

(I)

CANCELLING Revised

C.P.U.C SHEET NO. 8094-W

Schedule No. SD-1 San Diego County District Tariff Area GENERAL METERED SERVICE

APPLICABILITY

Applicable to all water furnished on a metered basis.

TERRITORY

Coronado, Imperial Beach, and portions of San Diego, and vicinity San Diego County.

RATES

Quantity Rates:

	Base Rate	
Residential Customers:	<u>Per 100 gal (CGL)</u>	
For the first 59.8 CGL	\$0.6275	(1)
For the next 52.4 CGL	\$0.7171	
For the next 112.2 CGL	\$1.0559	
For all water delivered over 224.4 CGL	\$1.3912	

All Other Customers:

For 8-inch meter

For all water delivered, per CGL \$0.7171

Service Charge: General Metered Per Meter Per Month \$7.25 For 5/8 x 3/4-inch meter **(I)** For 3/4-inch meter \$10.87 For 1-inch meter \$18.12 For 1-1/2-inch meter \$36.24 For 2-inch meter \$57.98 For 3-inch meter \$108.71 For 4-inch meter \$181.19 For 6-inch meter \$362.38

The Service Charge is a readiness-to-serve charge which is applicable to all general metered services and to which is added the charge for water used computed at the Quantity Rates.

\$579.80

(TO BE INSERTED BY UTILITY)		ISSUED BY	(TO BE INSERTED BY C.P.U.C)	
ADVICE LETTER NO.	1138	J.T. LINAM	DATE FILED	
		NAME	EFFECTIVE	
DECISION NO.		DIRECTOR - Rates & Regulatory	RESOLUTION	
•		TITLE		

655 West Broadway, #1410 San Diego, CA 92101

Revised

C.P.U.C SHEET NO. XXXX-W

CANCELLING Revised

C.P.U.C SHEET NO. 8095-W

Schedule No. SD-1 (continued) San Diego County District Tariff Area **GENERAL METERED SERVICE**

RATES (Continued):

Service Charge: Residential Fire Sprinkler System (RFSS)	Per Meter	
	Per Month	
For 5/8 x 3/4-inch residential to 1-inch residential metered fire sprinkler	\$7.18	(R)
For 5/8 x 3/4-inch residential to 1 1/2-inch residential metered fire sprinkler	\$10.77	
For 5/8 x 3/4-inch residential to 2-inch residential metered fire sprinkler	\$11.90	
For 3/4-inch residential to 1-inch residential metered fire sprinkler	\$6.89	
For 3/4-inch residential to 1 1/2-inch residential metered fire sprinkler	\$10.53	
For 3/4-inch residential to 2-inch residential metered fire sprinkler	\$11.62	
For 1-inch residential to 1 1/2-inch residential metered fire sprinkler	\$18.58	
For 1-inch residential to 2-inch residential metered fire sprinkler	\$19.77	
For 1 1/2-inch residential to 2-inch residential metered fire sprinkler	\$31.15	(Ŕ)

The Service Charge is a readiness-to-serve charge which is applicable to all Residential Fire Sprinkler System metered services only and to which is added the charge for water used computed at the Quantity Rates.

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1138	J.T. LINAM	DATE FILED
	NAME	EFFECTIVE
	DIRECTOR - Rates & Regulatory	RESOLUTION
	,	1138 J.T. LINAM NAME

TITLE

655 West Broadway, #1410 San Diego, CA 92101

C.P.U.C SHEET NO. XXXX-W

(C)

CANCELLING Revised

C.P.U.C SHEET NO. 8184-W

Schedule No. SD-1 (continued) San Diego County District Tariff Area GENERAL METERED SERVICE

SPECIAL CONDITIONS:

General Items:

- 1. Qualifying low-income customers can receive a discount on their bill. Customers must apply with the Company for acceptance into the low-income program. For additional details, please see Tariff Schedule CA-LIRA.
- 2. Any customer paying for service at a premise where a Residential Fire Sprinkler System (RFSS) is required/requested to be installed by local fire and building codes shall be allowed to have their monthly service charge modified in accordance with the monthly costs for RFSS service charges. Provided, however, that the RFSS rate has been requested by the customer and verified by the Company that the maller size of meter would be large enough to provide adequate service for the property in absence of the additional demand necessary to supply water to the sprinkler system. The RFSS will not be considered a fire service by the Company, but as an oversized general metered service. As such the rules and conditions of service for general metered service shall apply.

Fees and Surcharges:

- 3. All bills are subject to the reimbursement fee set forth in Schedule No. UF.
- 4. A surcharge is included on each bill to collect franchise taxes and/or business license fees paid to various municipalities. The amount collected is based on a percentage of gross revenues of each bill. The percentage is 2% to City of San Diego and City of Imperial Beach.
- 5. Per Advice Letter 1072-A, a surcharge of \$0.0054 per 100 gallons will be applied to each bill to fund conservation efforts. The surcharge will remain in effect until otherwise directed by the Commission.
- 6. Per Advice Letter 1138, a surcharge of \$1.21 for the Low-Income Ratepayer Assistance Program ("LIRAP") Balancing Account will be collected from all non-low income water and wastewater customers.

7. Per Advice Letter 1108-A, the under-collected balance in the San Diego County District Consolidated Expense Balancing Account (CEBA) will be recovered through a quantity based surcharge of \$0.0338 per 100 gallons over 12 months effective February 1, 2016. The total amount will be recovered from all classes of customers.

8. Per Advice Letter 1105-A, a surcharge is applied to each bill to offset increases in purchased water costs imposed by the City of San Diego. This offset results in a needed revenue increase of \$1,960,482 or 7.08%. The surcharge of \$0.0684 per 100 gallons is added to the quantity rate effective January 1, 2016.

(TO BE INSERTED BY UTILITY)		ISSUED BY	(TO BE INSERTED BY C.P.U.C)
ADVICE LETTER NO.	1138	J.T. LINAM	DATE FILED
		NAME	EFFECTIVE
DECISION NO.		DIRECTOR - Rates & Regulatory	RESOLUTION
•		TITLE	

655 West Broadway, #1410 San Diego, CA 92101

Revised

C.P.U.C SHEET NO. XXXX-W

CANCELLING Revised

C.P.U.C SHEET NO. XXXX-W

Schedule No. CA-LIRA (Continued)
California American Water
LOW INCOME RATEPAYER ASSISTANCE PROGRAM

R	Δ.	Т	F	S	•
1 /	_		_	v	

Southern Division: San Diego

Quantity Rates:

For the first 59.8 CGL

For 6-inch meter

For 8-inch meter

Base Rate Per 100 gal (CGL) **(I)** \$0.5020 \$0.5737

For the next 52.4 CGL For the next 112.2 CGL \$1.0559 For all water delivered over 224.4 CGL

\$1.3912 (R)

Service Charge: General Metered Per Meter

> Per Month \$5.80

(I)

For 5/8 x 3/4-inch meter For 3/4-inch meter \$8.70 For 1-inch meter \$14.50 For 1-1/2-inch meter \$28.99 For 2-inch meter \$46.38 For 3-inch meter \$86.97 For 4-inch meter

\$144.95 \$289.90

\$463.84

(I)

(Continued)

(TO BE INSERTED BY UTILITY) (TO BE INSERTED BY C.P.U.C) ISSUED BY ADVICE LETTER NO. J.T. LINAM 1138 **DATE FILED** NAME **EFFECTIVE** DECISION NO. DIRECTOR - Rates & Regulatory RESOLUTION

TITLE

655 West Broadway, #1410 San Diego, CA 92101

Revised

C.P.U.C SHEET NO. XXXX-W

CANCELLING Revised C.P.U.C SHEET NO. XXXX-W

Schedule No. CA-4 California American Water PRIVATE FIRE PROTECTION SERVICE

RATES (continued)

	Per Month	
San Diego District		
For each 4-inch connection or smaller	\$28.53	(1)
For each 6-inch connection	\$55.75	(I)
For each 8-inch connection	\$81.54	(I)
For each 10-inch connection	\$117.00	(I)
For each 12-inch connection \$168.74		(1)
Ventura District		
For each 4-inch connection or smaller	\$38.85	
For each 6-inch connection	\$60.31	
For each 8-inch connection	\$82.01	
For each 10-inch connection	\$104.08	
For each 12-inch connection	\$131.54	

SPECIAL CONDITIONS APPLICABLE TO ALL SERVICE AREAS

- 1. The fire protection service and connection shall be installed by the Utility or under the Utility's direction. Cost of the entire fire protection installation shall be paid for by the applicant. Such payment shall not be subject to refund.
- 2. If the distribution main of adequate size to serve a private fire protection system in addition to all other normal service does not exist in the street or alley adjacent to the premises to be served, then a service main from the nearest existing main of adequate capacity will be installed by the utility at the cost of the applicant. Such cost shall not be subject to refund.
- 3. The installation housing the detector type check valve and meter and appurtenances there to shall be in a location mutually agreeable to the applicant and the Utility. Normally, such installation shall be located on (L) the premises of applicant, adjacent to the property line. The expense of maintaining the fire protection (L) facilities on the applicant's premises (including the vault, meter, detector- type check valves, backflow device and appurtenances) shall be paid for by the applicant.

(TO BE INSERTED BY UTILITY)		ISSUED BY	(TO BE INSERTED BY C.P.U.C)	
ADVICE LETTER NO.	1138	J.T. LINAM	DATE FILED	
		NAME	EFFECTIVE	
DECISION NO.	D.15-06-012	DIRECTOR - Rates & Regulatory	RESOLUTION	
		TITLE		

655 West Broadway, #1410 San Diego, CA 92101

C.P.U.C SHEET NO. XXXX-W Revised

CANCELLING Revised C.P.U.C SHEET NO. XXXX-W

Schedule No. CA-4H
California American Water Tariff Area
PRIVATE FIRE HYDRANT SERVICE

APPLICABILITY

Applicable to all water service furnished for fire hydrant service.

TERRITORY

All territories served by California American Water Company

RATES

Per month

San Diego District

Private Fire Hydrant Service Installed at Cost of Applicant:

For each Fire Hydrant Installed (R) \$17.53

Monterey County District

Private Fire Hydrant Service Installed at Cost of Applicant:

For each Fire Hydrant Installed \$32.91

All Other Districts

Private Fire Hydrant Service Installed at Cost of Applicant:

For each Fire Hydrant Installed \$17.53

(Continued)

(TO BE INSERTED BY UTILITY)		ISSUED BY	(TO BE INSERTED BY C.P.U.C)	
ADVICE LETTER NO.	1138	J.T. LINAM	DATE FILED	
		NAME	EFFECTIVE	
DECISION NO.		DIRECTOR - Rates & Regulatory	RESOLUTION	

TITLE

655 West Broadway, Suite 1410

San Diego, CA 92101 CANCELLING Revised C.P.U.C. SHEET NO. 8274-W

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SUBJECT MATTER OF SHEET C.P.U.C. SHEET NO.

RATE SCHEDULES (Continued):

Monterey County District (Continued)

(Monterey Main, Hidden Hills, Ryan Ranch, & Bishop Service

Areas) (Continued)

MO-1 MU General Metered Service 8268-W, 7728-W, 7729-W, 7730-W

Residential/Non-Residential Mixed Use 7731-W, 8231-W, 8220-W, 8232-W 7735-W, 7736-W, 8026-W, 8260-W

(Ambler Park, Ralph Lane & Chualar Service Areas)

MO-ARC-1 General Metered Service 8271-W, 8119-W, 8120-W, 8236-W,

8027-W, 7642-W, 8237-W

C.P.U.C. SHEET NO.

XXXX-W

Revised

(Toro)

MO-TO-1 General Metered Service 8128-W, 8201-W, 8019-W, 7644-W

(Garrapata)

MO-GA-2 General Flat Rate Service 7693-W, 8170-W

Sacramento District

SC-DU-1

SC-DU-1F

SC-1 General Metered Service 8103-W, 8104-W, 8196-W, 8028-W,

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Flat Rate Service 8161-W

San Diego County District

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VN-1 General Metered Service 8084-W, 8085-W, 8190-W, 8014-W,

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VN-9MC Metered Construction Water 8087-W, 8191-W, 8015-W, 7629-W

(TO BE INSERTED BY UTILITY)		ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
ADVICE LETTER NO.	1138	J. T. LINAM	DATE FILED
		NAME	EFFECTIVE
DECISION NO.		DIRECTOR – Rates & Regulatory	RESOLUTION
_		TITLE	

655 West Broadway, Suite 1410

San Diego, CA 92101 CANCELLING Revised C.P.U.C. SHEET NO. XXXX-W

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The following listed tariff sheets contain all effective rates and rules affecting the charges and services of the Utility, together with other pertinent information:

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Revised

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C.P.U.C. SHEET NO.

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(TO BE INSERTED BY UTILITY)		ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
ADVICE LETTER NO.	1138	J. T. LINAM	DATE FILED
	_	NAME	EFFECTIVE
DECISION NO.		DIRECTOR – Rates & Regulatory	RESOLUTION
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655 West Broadway, Suite 1410

San Diego, CA 92101 CANCELLING Revised C.P.U.C. SHEET NO. XXXX-W

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SUBJECT MATTER OF SHEET

C.P.U.C. SHEET NO.

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C.P.U.C. SHEET NO.

XXXX-W

6599-W, 6600-W

Revised

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San Diego County 6601-W, 6602-W 6603-W, 6604-W Ventura County

RATE SCHEDULES:

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> Private Fire Protection Service W, 7741-W, 8001-W, 8020-W, 8021-W, 7628-W, 7625-W, 7636-W, 7640-

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LK-F **Facilities Fees** 7651-W

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LA-3M 8138-W, 7712, 8205-W, 8251-W, Measured Irrigation Service

8017-W. 7631-W

Monterey County District

(Monterey Main, Hidden Hills, Ryan Ranch, & Bishop Service Areas)

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8024-W. 7638-W. 8258-W

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7692-W, 8025-W, 7639-W, 8259-W

(Continued)

(TO BE INSERTED BY UTILITY) ISSUED BY (TO BE INSERTED BY C.P.U.C.) ADVICE LETTER NO. 1138 J. T. LINAM DATE FILED

NAME **EFFECTIVE**

<u>DIRECTOR – Rates & Regulatory</u> RESOLUTION DECISION NO.

A.13-07-002 SERVICE LIST CALIFORNIA-AMERICAN WATER COMPANY ADVICE LETTER 1138

BY MAIL:

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